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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/994,633	11/28/2001	Min-Tzu Sung	2769-116	7724

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WASHINGTON, DC 20005

EXAMINER

VEILLARD, JACQUES

ART UNIT	PAPER NUMBER
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2175

DATE MAILED: 04/19/2004

2

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/994,633

Applicant(s)

SUNG, MIN-TZU

Examiner

Jacques Veillard

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2175

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 28 November 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-17 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 7-9, 13, 14, 16 and 17 is/are allowed.
- 6) ☒ Claim(s) 1, 6, 10 and 15 is/are rejected.
- 7) ☒ Claim(s) 2-5, 11 and 12 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This action is responsive to the Applicant's communication filed on 11/28/2001.
2. Claims 1-17 are pending and presented for examination.
3. Claims 1, 10, 13, and 17 are the independent claims. Other claims are the dependent.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1, 6, 10, and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Fa (U. S. Pat. No. 6,714,947) in view of Hudetz et al.(U. S. Pat. No. 6,199,048, hereinafter Hudetz).

As per claim 1, Fa teaches a similar method for inquiring product exportation, for use with a system for inquiring product exportation, for allowing a user at a terminal device to inquire associated data relating to product exportation on a browser of the terminal device via a network communication system, wherein an exporting station of the system for inquiring product exportation pre-establishes an exporting file corresponding to each product exportation, and stored the established exporting file in a database (See col.1, lines 6-11, col.2, line 15 through col.3, line28, Fig.1, and col.4, line 56 through col.6, line 6). In particular, the method as taught by Fa comprising the steps of transmitting the read exporting file to the user at the terminal device via the system for inquiring product exportation (See abstract lines 7-15, col.1, lines 14-26, and col.5, lines 53). Fa does not explicitly teach the system for inputting a dedicated

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identification code of an exporting file for inquiry via the user at the terminal device through the browser of the terminal device and the network communication system; reading an exporting file having an identification code in the database corresponding to the identification code inputted by the user after receiving the identification code transmitted from the terminal device via the system for inquiring product exportation.

However, Hudetz teaches a system for using identification codes (See the abstract) includes the features of inputting a dedicated identification code of an exporting file for inquiry via the user at the terminal device through the browser of the terminal device and the network communication system (See the abstract, Fig.1 component 44, Fig.5, component 84, and col.11, lines 32-42); reading an exporting file having an identification code in the database corresponding to the identification code inputted by the user after receiving the identification code transmitted from the terminal device via the system for inquiring product exportation (See col.8, lines 29-63, Fig.10, col.11, lines 43-55 and col.12, lines 13-23).

It would have been obvious to a person of ordinary skill in the art at the of the Applicant's invention was made to modify the teachings of Fa with the teachings of Hudetz to include an identification code or bar code reader in order for a user to read product identification information that is encoded.

As per claims 6 and 15, the combination of Fa and Hudetz, as modified, teaches the claimed invention, wherein the identification code is a client sequence number or a product category sequence number (See Fa's Fig. 4(B) and corresponding text).

As per claim 10, Fa teaches a system for inquiring product exportation, for allowing a user at a terminal device to inquire associated data relating to product exportation on a browser of the terminal device via a network communication system (See col.1, lines 6-11, col.2, line 15 through col.3, line28, Fig.1, and col.4, line 56 through col.6, line 6); the system comprising: an exporting station for establishing an exporting file corresponding to each product exportation (See Fig.1 and corresponding text), a database for storing the exporting file established by the exporting station (See Fig.1, components 40 and 41, and col.5, lines 11-33). Fa does not explicitly teach the system for providing a dedicated identification code for the exporting file, and a database server for receiving an identification code inputted by the user at the terminal device, and for reading an exporting file in the database having an identification code corresponding to the received identification code, so as to transmit the read exporting file to the user at the terminal device.

However, Hudetz teaches a system for using identification codes (See the abstract) includes the features of for providing a dedicated identification code for the exporting file, and a database server for receiving an identification code inputted by the user at the terminal device, and for reading an exporting file in the database having an identification code corresponding to the received identification code (See the abstract, Fig.1 component 44, Fig.5, component 84, and col.11, lines 32-42), so as to transmit the read exporting file to the user at the terminal device (See col.8, lines 29-63, Fig.10, col.11, lines 43-55 and col.12, lines 13-23).

. It would have been obvious to a person of ordinary skill in the art at the of the Applicant's invention was made to modify the teachings of Fa with the teachings of Hudetz to

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include an identification code or bar code reader in order for a user to read product identification information that is encoded.

Allowable Subject Matter

6. Claims 2-5, 11, and 12 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

7. Claims 7-9, 13, 14, 16, and 17 are allowed over the prior art of record.

8. The following is a statement of reasons for the indication of allowable subject matter:

The prior art taken singularly or in combination fail to teach or suggest: a system for informing the user of failure in searching an exactly matching or similar matching exporting file for inquiring product exportation as recited in dependent claims 2, 3 and 11.

The prior art taken singularly or in combination fail to teach or suggest: a system for Establishing a quotation and an exportation data report and transmitting the quotation to a client who orders the exported products and paying for the products according to the quotation upon receiving the quotation and the exportation data report as recited in dependent claims 4 and 12.

Regarding the independent claims 7, 13 and 17, the prior art taken singularly or in combination fail to teach or suggest: a system for establishing a quotation exportation data report so as to allow the user to examine the exported products and transmitting the quotation and the exported products to a client who orders the exported products, for allow him to examine and pay for the products according to the quotation upon receiving the quotation and the exportation data report. These limitations in combination with the other claims limitations were not disclosed

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by, would not have been obvious over, nor would have been fairly suggested by the prior art of record.

The dependent claims being further limiting to the independent claims, definite and enabled by the specification are also allowed.

Other Prior Art Made of Record

- | | | |
|----|--------------------|----------------------------------|
| 9. | Lazarus et al. | U. S. Pat. No. 6,134,532, |
| | Morea et al. | U. S. Pub. No. 2002/0120537, |
| | Chiu et al. | U. S. Pub. No. 2003/0046180, |
| | Markopoulos et al. | U. S. Pub. No. 2002/0184102, and |
| | Durst Jr. et al. | U. S. Pat. No. 6,542,933. |

Conclusion

10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

11. **Any response to this action should be mail to:**

Commissioner of Patent and Trademarks

Washington, D.C. 20231

Or faxed to:

(703) 746-7239 (for formal communication intended for entry)

Or:

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(703) 746-7240 (for informal of draft communications, please label

"PROPOSED" or "DRAFT")

Hand - delivered responses should be brought to Crystal Park II, 2021 Crystal Drive, Arlington.

VA, Fourth Floor Lobby (Receptionist Telephone No. (703) 305-3900).

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jacques Veillard whose telephone number is (703) 305-7094. The examiner can normally be reached Monday through Friday from 9:30 AM to 4: 30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dov Popovici, can be reached on (703) 305-3830. The fax phone number for this group is (703) 308-5403.

Charles Rones
CHARLES RONES
PRIMARY EXAMINER

JV

Jacques Veillard
Patent Examiner TC 2100

April 14, 2004